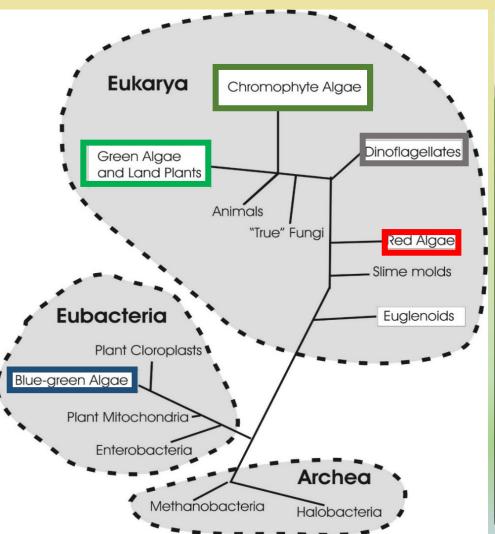


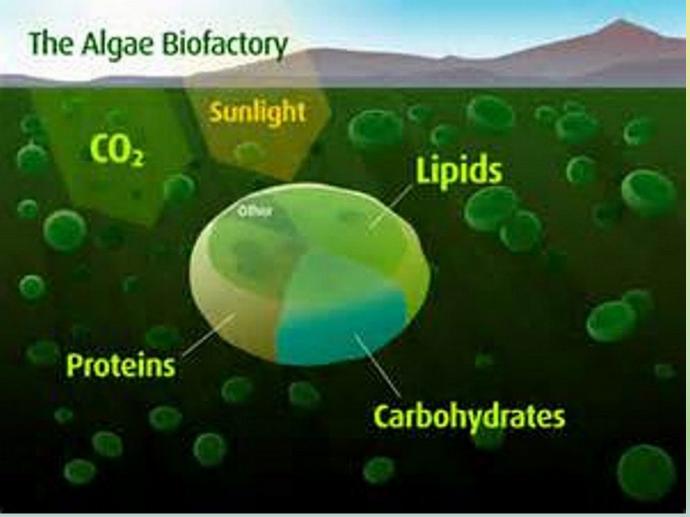
BioRural Knowledge-exchange Workshop: Advancing the European Rural Bioeconomy Aquatic systems

EU regulation and standardisation for the algal sector

Foreword

Distinguish taxonomy and functional classification

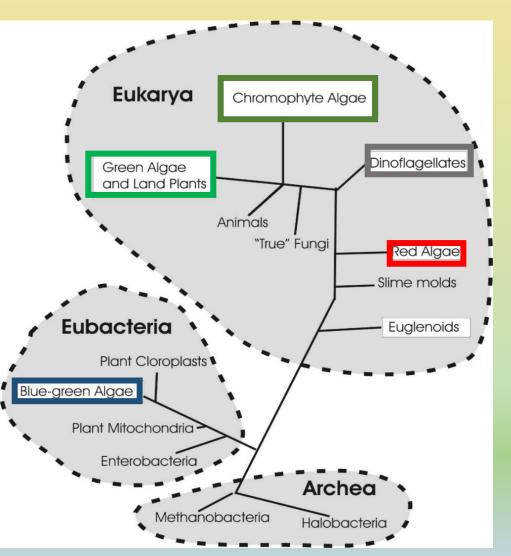




Foreword

Classification
Regulation
Standardisation
Conclusions

Distinguish taxonomy and functional classification



CEN TC454: "algae are regarded as a functional group of organisms consisting of microalgae, macroalgae, cyanobacteria and Labyrinthulomycetes"

Algae: laws and technical norms

- Regulatory, scientific, commercial and product classification
- · Algae in European Regulations
- . Technical Standards for algae
- Conclusions

Product classification: NC 1212 21, 1212 29

Classification Regulation Standardisation Conclusions

CUSTOMS CLASSIFICATION

Section II

"vegetable products"

Chapter 12

"oil seeds and oleaginous fruits; miscellaneous grains, seeds and fruit; industrial or medicinal plants; straw and fodder"

Heading 1212

"Locust beans, seaweeds and other algae, sugar beet and sugar cane, fresh, chilled, frozen or dried, whether or not ground; fruit stones and kernels and other vegetable products (including unroasted chicory roots of the variety Cichorium intybus sativum) of a kind used primarily for human consumption, not elsewhere specified or included"

Subheading 1212 21

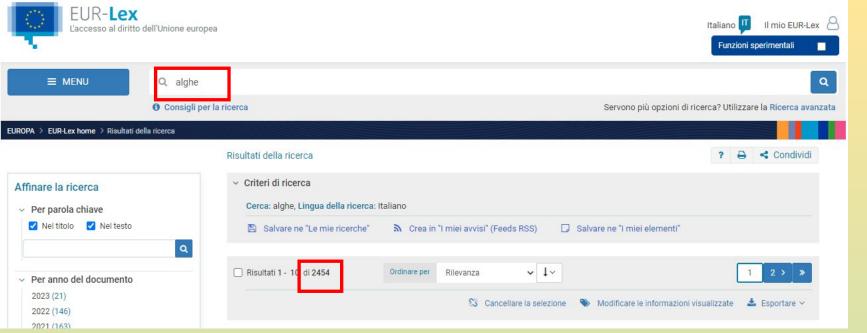
Seaweeds and other algae: Fit for human consumption

Subheading 1212 29

Seaweeds and other algae: Other

Customs tariff TARIC is an integral part of the Harmonized System which came into force in 1988, introducing a standardized international system that allows all types of goods and tariffs to be classified [1].

Statistics classification: NACE A 03 21, A 03 22; ATECO 2025 (*) A 03 21 01, A03 22 01, C 10 20 01 The Eurostat [2] and Istat codes place the activities in the agriculture, aquaculture and fishing sectors, cultivation of algae in fresh and marine waters; the transformation of biomass into derivatives is an industrial activity (* Italy)



Classification Regulation Standardisation Conclusions

Algae farming has no specific legislation but occurs in 2454 EU acts

Algae are aquatic organisms (Reg. 708/2007 EU) «...any species living in water belonging to the animalia, plantae and protista kingdoms...»

Algae farming is part of aquaculture (Reg. 1379/2013 EU Annex1) «'aquaculture products' mean aquatic organisms at any stage of their life cycle resulting from any aquaculture activity»

Algae products bear origin declaration obligation (Reg. 1379) « ... may be offered for sale to the final consumer ... only if appropriate marking or labelling indicates ... the area where the product was caught or farmed »

Algae farming has no specific legislation (fortunately) but occurs in 2454 EU acts

- Algae are not (yet? ...) included in ANNEX I of EU Reg. 1151/2012 «Quality regimes for agricultural and food products» (PDO, PGI).

 Consumers increasingly demand «Transparency»: agricultural or food products with specific identifiable characteristics, in particular those linked to their geographical origin.
- Algae may be «Organic» (Reg. 848/2018 EU)

 i) Possibility of EU Organic certification
 ii) Italy (AISAM) contributed to Reg 1165/2021 amendment
 iii) as of 31/12/2025 the "Substantial Equivalence" of Organic certification according former Reg 834/2007 shall terminate



- Algae are under Novel Foods (Reg 2283/2015)
 Marketing authorization mandatory, see below
- Algae are included in Fertilising Products Regulation (FPR, Reg. 1009/2019 EU)
 but cyanobacteria currently excluded (Spirulina based biostimulants!)

ALGAE AND NOVEL FOODS

- 10 categories of novel foods
- "... (vi) foods consisting of, isolated or produced from cell or tissue cultures derived from animals, plants, microorganisms, fungi or algae "
- > Biomass as such (bulk, powder, frozen paste, tablets, ...)
- > Algae extracts
- > Protein concentrates
- Not Novel Foods:
- Food Enzymes
- > Additives
- > Flavourings
- > Extraction solvents
- > GMOs (genetically modified organisms)

Is my algal product Novel Food?

Classification
Regulation
Standardisation
Conclusions

The responsibility for the decision lies with the Food Business Operator (FBO)

History of consumption before 1997 May 15?

Consumption outside EU (exotic food)?

Novel manufacturing process?

Which is the final product?

What is the intended use?

Novel food catalogue (EC website)

Novel food consultations (EC website)

Union list of novel foods (Commission Implementing Regulation (EU) 2017/2470)

Ongoing applications (EFSA open)

National lists (France, Italy, Belgium)

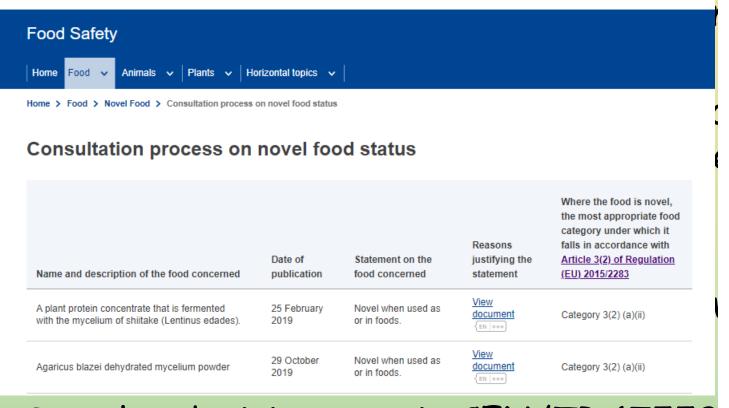
Example: "fortified" products

Some considerations on the NF

- NF authorization is "product-specific" (not alga-specific and no longer Company-specific)
- The scientific data supporting the application must not be used for the benefit of competition within five years from the date of authorization of the novel food without the consent of the initial applicant
- Before "applying" it is advisable to follow the Consultation procedure with your MS (Art.4).
- See also decision tree in CEN/TR 17559:2022 [3]

Some considerations on the NF

Classification Regulation Standardisation Conclusions



Consultation request for the determination of the novel food status ARTICLE 4 of Regulation (EU) 2015/2283

Consultation request to determine the novel food status of the Chlorella sp. (Auxenochlorella protothecoides, Auxenochlorella pyrenoidosa, Chlorella sorokiniana, Chlorella vulgaris, Jaagichlorella luteoviridis, Parachlorella kessleri)

Recipient Member State: Czech Republic, Ministry of Agriculture, Food Safety Department

Date: 04/03/2022

Name and description of the novel food:

Chlorella sp. consists one or more species from this list of the species: Auxenochlorella protothecoides, Auxenochlorella pyrenoidosa, Chlorella sorokiniana, Chlorella vulgaris, Jaaqichlorella luteoviridis, Parachlorella kessleri

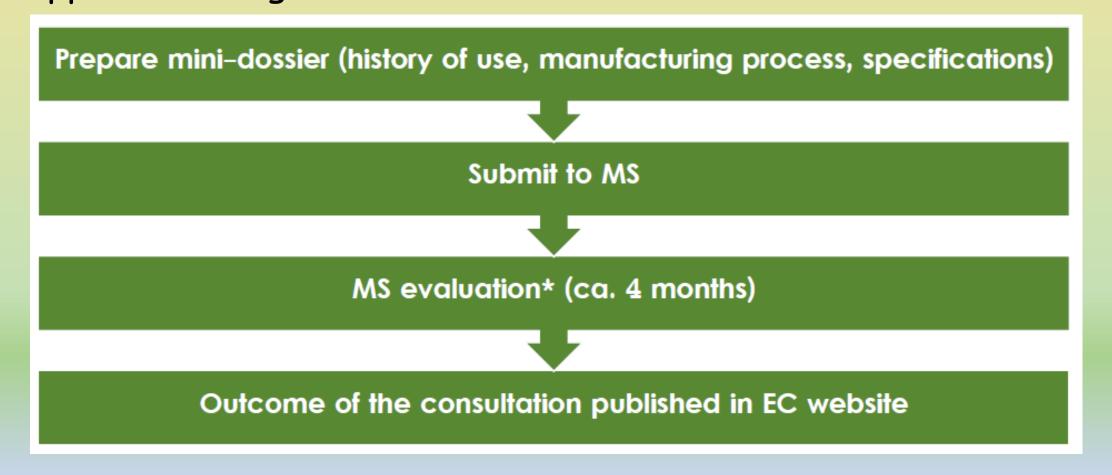
Dried biomass of unicellular microscopic freshwater algae of the genus *Chlorella*, referred to as *Chlorella sp.* or Chlorela, obtained by cultivation in an autotrophic (with the presence of light), heterotrophic (without the presence of light in fermenters) or mixotrophic combination of the two previous modes) mode. The dried biomass is in the form of a fine powder which is used as a food supplement in form of capsules, tablets etc. or lyophilized *Chlorella* extract. The dried biomass can be used as a food material in food industry (as a food component), e.g. in bakery products, pasta, beverages. The food does not contain nanomaterials.

• See also decision tree in CEN/TR 17559. LULL [3]

NF Consultation

Classification Regulation Standardisation

Ask to the competent office of the Member State where Conclusions
you intend to place the product on the market according to
Application Regulation 2018/456



Algae NF Regulatory: identification and nomenclature

Classification
Regulation
Standardisation
Conclusions

- "Typing" errors in the Novel Foods Catalog (NFC) ("Chlorella pyrenoidosa... the composition is quite similar to the other <u>macroalgae</u>")
- · Chlorella case: not identified species, renamed, disappeared, etc.
- "Scientific" errors: Odontella aurita case, approved diatom (France) "because similar to other seaweed food"
- "Hystorical" errors: Aphanizomenon Flos Aquae case
- Union List: algae with molecular identification (Tetraselmis chui: "identified by means of nuclear marker rDNA 18 S (sequence analysed no less than 1 600 base pairs) in the National Centre for Biotechnology information (NCBI) database: Not less than 99,9 %), and algae without identification (Euglena gracilis)
- Microalgae (most relevant for market: omega-3 sources) which are not algae (according Academy ...) (Schizochytrium)

• • • •

Classification Regulation Standardisation Conclusions

Standards

- · What standards are
- The CEN/TC 454 and Codex
- Algae standards
- Coming soon

Classification Regulation Standardisation Conclusion

What is a standard?

- ▶ A document for a **common** and **repeated** use
- Produced by all interested parties through a transparent, open and consensus-based process
- A tool to underpin legislation
- Voluntary in use
- Automatically transposed into national standards and therefore conflicting national standards are to be withdrawn
- Integrated with international standardization work

EUROPEAN STANDARD

NORME EUROPÉENNE

EUROPÄISCHE NORM

August 2021

EN 17477

ICS 13.020.5

English Version

Algae and algae products - Identification of the biomass of microalgae, macroalgae, cyanobacteria and Labyrinthulomycetes - Detection and identification with morphological and/or molecular methods

Alguer et produits d'alguer - Identification de la biomasse de microalgues, macroalgues, cyamobactéries et Labyvinthalomysètes - Détection et identification à l'aide de méthodes morphologiques et/en moléculaires Algen und Algenpredakte - Identifizierung der Biomasse von Nikrualgen, Makroalgen, Cyunobakterien und Labytünffullongosten - Erkennung und identifizierung mit merykologischen und/eder molekulzen Verfahren.

This European Standard was approved by CEN on 7 June 2021.

CEN members are bound to comply with the CEN/CENTLE Linemal Regulations which atignize the conditions for giving this European Standard the status of a national standard without any attention. Byte-trade lites and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN members.

This European Standard exists in three official versions (English, Frenth, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENSILEC Management Centre has the annea ration as the official versions.

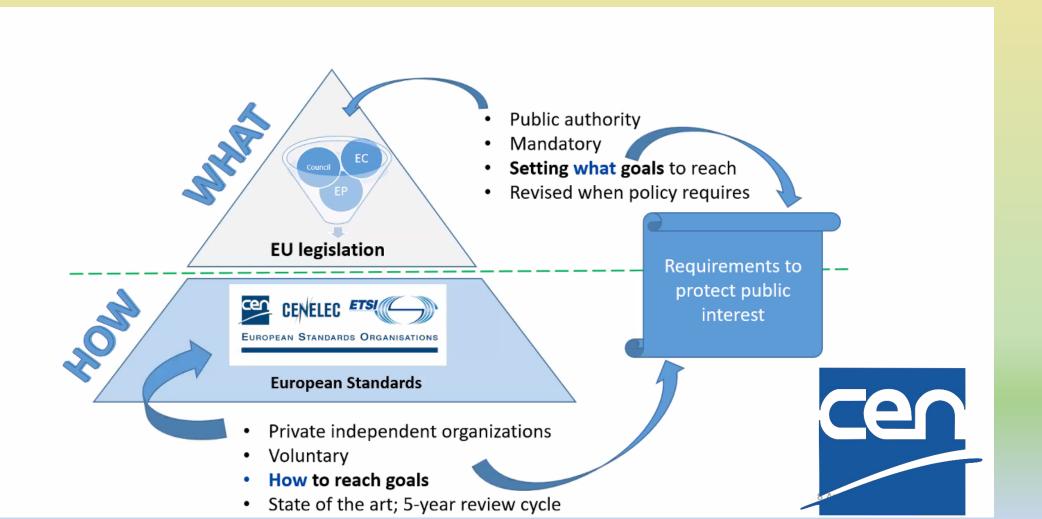
CIN members are the national standards bodies of Austria Belgium, Bulgaria, Crustia (Syrus, Geeth Beyublic, Demanter, Estoola, Finland, France, Germany, Green, Hangary, Jeoland, Irlanda, Palay, Listria, Lithuania, Lausembourg, Malis, Netherlanda, Netwoy, Poland, Portugal Republic of North Macedonia, Rossonia, Serbia, Slovadia, Spots, Sevedeo, Solitarsland, Turkoy and United Ringdom.



CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

Standards: official EU and from private sectors

- Algae Standards (Annex 2): support for regulations
 - e.g. EHPM [] Quality Guide Promoting Best Practice: see botanicals



CODEX ALIMENTARIUS



- •Global food standards, guidelines and practice codes from FAO and WHO, since 1963
- ·Public domain documents, open, transparent and inclusive
- •Recognized by many Jurisdictions and WTO's SPS (Sanitary and PhytoSanitary Agreement)
- ·Liaisons with CEN / ISO
- Comparison with "industrial" (private) "standards"
- Algae as NEW FOODS
- •Other new foods: Insects, vegetable proteins, cultivated meat and seafood, fermentation-derived, 3-D printed

TAKE HOME MESSAGES

- Algae are well regulated and standardized! Let's go!
- · General regulatory landscape is the same of other crops
- Food law applies to algae as well (contaminants, NF, etc)
- · Algae specific rules should be sought only when necessary
- All regulatory constraints came when Algae were missing!
- Algae standards are available [4]
- Look at EU Algae Initiative COM/2022/592 [5]
- EABA [6] and national Associations (AISAM) [7] have Working
- See also Annex 1

Classification Regulation Standardisation

Conclusions





ALGAE AND FOOD SUPPLEMENTS

Classification
Regulation
Standardisation
Conclusions

• "botanical": plant material, including whole, fragmented or cut plants, plant parts, plant products (such as exudates), algae, fungi and lichens

EHPM Quality Guide

• EHPM (European Health Products Manufacturers) botanical suppliers' questionnaire [8] a quideline to assist suppliers and manufacturers

a guideline to assist suppliers and manufacturers in meeting plant raw material requirements for supplements.

Notes for microalgae

ALGAE AND FOOD SUPPLEMENTS

Classification Regulation Standardisation Conclusions

ANNEX VIII – EHPM BOTANICAL SUPPLIERS' QUESTIONNAIRE

- · Name: genus, species, (strain)
- Farming place (biomass origin)
- Microcystins
- Identification: morfological + molecular

1.1.1 - Scientific name (Latin name, family, genus).Variety and chemotype, where necessary:Common (vernacular) name:

Mycotoxins (e.g. Aflatoxins B1, B2, G1, G2, Ochratoxin A)

4.1.2 - Identity (TLC, HPLC, ...)

Specify the analyses performed

• GACP: Good Agricultural and Collection Practice - lot file



Annex 1

Background

- Only algae products regulatory is dealt with here
- Environmental regulation (soil, water, air): Agriculture, Industry and/or both
- Regulation about input flows (mass, energy): generally not "Algae-specific" but:
- 1. CO2: food grade CO2 is regulated only as additive (E290) or solvent, not as "Nutrient"
- 2. Safety in algae facilities
- 3. ... many other topics ... (permits, GMOs, ...)



Annex 2/1 CEN/TC454 Algae standards

- •Terms and definitions: EN 17399 published in 2020, under revision for 2nd ed.
- •Strain identification: EN 17477 published in 2021
- Productivity of algae growth sites: EN 17480 published in 2021
- •Technical Reports: algae applications CEN/TRs
 - Food and feed overview of limits, procedures and analytical methods -TR17559
 - · Cosmetic applications specifications TR17611
 - · Pharmaceutical applications specifications TR17612
 - · Chemicals and biofuels applications specifications TR17739

Annex 2/2

CEN/TC454 Algae standards

- Analytical methods:
 - Total lipids (Ryckebosch)
 - · Proteins (Kjeldahl, CHN)
 - Carbohydrates (for seaweeds)
 - · Chlorophyll (HPLC)

- Fatty acids (GC)
- · Amino Acids (HPLC)
- · Carotenoids (HPLC)
- Phycocyanins (SF)

- Coming soon:
 - Standard on measurements for renewable algal raw material for energy and non-energy applications
 - · Standard on sampling

REFERENCES

- [1] https://en.wikipedia.org/wiki/Harmonized_System
- [2] https://ec.europa.eu/eurostat/web/nace/overview
- [3] CEN/TR 17559:2022 Algae and algae products Food and feed applications: General overview of limits, procedures and analytical methods
- [4] https://standards.iteh.ai/catalog/standards/cen
 - Standards can be purchased online or from NSB stores (National Standardisation Bodies, e.g. UNI, DIN, AFNOR, BSI etc)
- [5] https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=COM%3A2022%3A592%3AFIN
- [6] https://www.eaba-association.org/en
- [7] https://www.aisam-microalghe.it/
- [8] https://ehpm.org/

disclaimer

This presentation has been drafted by Silvio Mangini of Archimede Ricerche. The information and conclusions in this presentation should not be treated as binding on the person and organisation involved. The author does not guarantee the accuracy of the information included in this presentation. No liability may be assumed for the use which may be made of the information contained herein. The individual concerned has offered his views in a personal capacity.